

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

RECEIVED

APR 20 2004

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

DIRECTOR OF UTILITIES

W-02524A
Fort Mohave Tribal Utilities Authority
8678 Highway 95
P. O. Box 5559
Mohave Valley AZ 86440

ANNUAL REPORT

FOR YEAR ENDING

12	31	2003
----	----	------

FOR COMMISSION USE

Ann04	03
-------	----

COMPANY INFORMATION

Company Name (Business Name) FORT MOJAVE TRIBAL UTILITIES AUTHORITY

Mailing Address P.O. BOX 5559

(Street)

MOHAVE VALLEY

AZ

86446

(City)

(State)

(Zip)

(928) 346-1909

(928) 346-1553

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Email Address OMIFOR@OMIINC.COM

Local Office Mailing Address P.O. BOX 5559

(Street)

MOHAVE VALLEY

AZ

86446

(City)

(State)

(Zip)

(928) 346-1909

(928) 346-1553

Local Office Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Email Address OMIFOR@OMIINC.COM

MANAGEMENT INFORMATION

Management Contact: ROBERT H. LANE

(Name)

BOARD CHAIRMAN

(Title)

P.O. BOX 5559

MOHAVE VALLEY

AZ

86446

(Street)

(City)

(State)

(Zip)

(928) 346-1909

(928) 346-1553

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Email Address RIVERBOB@CTAZ.COM

On Site Manager: DAVID MORTENSEN

(Name)

P.O. BOX 5559

MOHAVE VALLEY

AZ

86446

(Street)

(City)

(State)

(Zip)

(928) 346-1909

(928) 346-1553

(928) 201-1553

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Email Address DMORTENS@OMIINC.COM

☐ Please mark this box if the above address(es) have changed or are updated since the last filing.

Statutory Agent: DAVID WOLF

(Name)

8490 S. HIGHWAY 95, SUITE 105, MOHAVE VALLEY

AZ

86440

(Street)

(City)

(State)

(Zip)

(928) 346-2400

(928) 346-2405

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Attorney: JOHN FREDERICKS -- FREDERICKS, PELCYGER & HESTER, LLC

(Name)

1075 SOUTH BOULDER ROAD, LOUISVILLE,

CO

80027

(Street)

(City)

(State)

(Zip)

(303) 673-9600

(303) 673-9115

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

☒ Please mark this box if the above address(es) have changed or are updated since the last filing.

OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☐ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☒ Other (Describe) ARIZONA - NOT FOR PROFIT

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☐ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☒ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

☐ STATEWIDE

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	4,779	—	4,779
302	Franchises	—		—
303	Land and Land Rights	2,616	—	2,616
304	Structures and Improvements	13,770	7,038	6,732
307	Wells and Springs	5,948	4,263	1,685
311	Pumping Equipment	20,175	14,144	6,031
320	Water Treatment Equipment	1,637	368	1,269
330	Distribution Reservoirs and Standpipes	10,717	7,504	3,213
331	Transmission and Distribution Mains	282,255	190,394	91,861
333	Services	18,809	10,924	7,885
334	Meters and Meter Installations	29,255	19,805	9,450
335	Hydrants	1,477	1,036	441
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	23,529	23,529	—
341	Transportation Equipment	46,963	46,963	—
343	Tools, Shop and Garage Equipment	10,894	10,894	—
344	Laboratory Equipment	600	600	—
345	Power Operated Equipment	23,000	23,000	—
346	Communication Equipment	3,023	3,023	—
347	Miscellaneous Equipment	1,899	1,899	—
348	Other Tangible Plant			
	TOTALS	501,346	365,384	135,962

This amount goes on the Balance Sheet Acct. No. 108

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	4,779	--	--
302	Franchises			
303	Land and Land Rights	2,616	--	--
304	Structures and Improvements	13,770	5%	688
307	Wells and Springs	5,948	5	297
311	Pumping Equipment	20,175	5	1,009
320	Water Treatment Equipment	1,637	5	82
330	Distribution Reservoirs and Standpipes	10,717	5	536
331	Transmission and Distribution Mains	282,255	5	14,113
333	Services	18,809	5	940
334	Meters and Meter Installations	29,255	5	1,463
335	Hydrants	1,477	5	74
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	23,529	20%	-
341	Transportation Equipment	46,963	20	-
343	Tools, Shop and Garage Equipment	10,894	20	-
344	Laboratory Equipment	600	20	-
345	Power Operated Equipment	23,000	20	-
346	Communication Equipment	3,023	20	-
347	Miscellaneous Equipment	1,899	20	-
348	Other Tangible Plant			
	TOTALS	501,346		19,202

This amount goes on Comparative Statement of Income and Expense _____
Acct. No. 403.

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 40,893	\$ 63,600
134	Working Funds	15,000	
135	Temporary Cash Investments		
141	Customer Accounts Receivable	23,185	29,562
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 79,078	\$ 93,162
	FIXED ASSETS		
101	Utility Plant in Service	\$ 480,822	\$ 501,346
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	(345,782)	(365,384)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 135,040	\$ 135,962
	TOTAL ASSETS	\$ 214,118	\$ 229,124

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

****THE FORT MOJAVE TRIBAL UTILITIES AUTHORITY SERVES WATER AND SEWER CUSTOMERS IN CLATSOP, OREGON, NEVADA, CALIFORNIA, ARIZONA, BOTH ON AND OFF THE FORT MOJAVE INDIAN RESERVATION.**

THE ABOVE BALANCE SHEET REFLECTS ONLY THOSE ASSETS AND LIABILITIES WHICH ARE ATTRIBUTABLE BY PERCENTAGE OF TOTAL REVENUE TO THOSE SERVICES PROVIDED TO ARIZONA OFF RESERVATION WATER CUSTOMERS.

BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$ 1,896	\$ 16,994
232	Notes Payable (Current Portion)	2,234	669
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	9,028	11,518
236	Accrued Taxes		
237	Accrued Interest - EXPENSES	382	438
241	Miscellaneous Current and Accrued Liabilities	81,193	77,956
	TOTAL CURRENT LIABILITIES	\$ 94,733	\$ 107,575
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ 8,029	\$ 6,093
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$ 102,762	\$ 113,668
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$	\$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	111,356	115,458
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 111,356	\$ 115,458
	TOTAL LIABILITIES AND CAPITAL	\$ 214,118	\$ 229,124

✱ See Comment on page 5

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 219,374	\$ 228,612
460	Unmetered Water Revenue		
474	Other Water Revenues		
	TOTAL REVENUES	\$ 219,374	\$ 228,612
	OPERATING EXPENSES		
601	Salaries and Wages	\$ 112,209	\$ 126,884
610	Purchased Water	4,750	5,262
615	Purchased Power	27,019	15,649
618	Chemicals	570	1,275
620	Repairs and Maintenance	5,468	6,746
621	Office Supplies and Expense	6,163	9,001
630	Outside Services	10,343	11,302
635	Water Testing	3,570	2,114
641	Rents		3,200
650	Transportation Expenses	750	8,484
657	Insurance – General Liability	4,000	6,500
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense	17,144	28,122
403	Depreciation Expense	18,177	19,202
408	Taxes Other Than Income	9,200	6,012
408.11	Property Taxes	4,700	9,123
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$ 224,063	\$ 258,877
	OPERATING INCOME/(LOSS)	\$ (4,689)	\$ (30,265)
	OTHER INCOME/(EXPENSE)		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/(EXPENSE)	\$	\$
	NET INCOME/(LOSS)	\$ (4,689)	\$ (30,265)

COMPANY NAME

SUPPLEMENTAL FINANCIAL DATA

Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End \$ _____

Meter Deposits Refunded During the Test Year \$ _____

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (Gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
B(17-22)15	15	150	87	16	6"	UNKNOWN

- Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
CITY OF NEEDLES, CALIFORNIA		10,000

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
20	1	20	
10	1		

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
150,000	1	1,500	2

WATER COMPANY PLANT DESCRIPTION (CONTINUED)

MAINS

Size (in inches)	Material	Length (in feet)
2		
3	AC	500
4	PVC/AC	15,000
5		
6	PVC/AC	10,000
8		
10		
12	PVC	6,000

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	630
3/4	
1	80
1 1/2	
2	5
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category.

TREATMENT EQUIPMENT:

NO CURRENT TREATMENT

STRUCTURES:

ALL CONSTRUCTED BUILDINGS ON TRIBAL LAND

OTHER:

COMPANY NAME: FORT MOJAVE TRIBAL UTILITIES AUTHORITY

WATER USE DATA SHEET BY MONTH FOR CALENDAR YEAR 2003

MONTH	NUMBER OF CUSTOMERS	GALLONS SOLD	GALLON PUMPED (Thousands)
JANUARY	704	5,608	5,610
FEBRUARY	729	5,857	5,326
MARCH	745	5,477	4,899
APRIL	752	6,272	5,109
MAY	770	5,108	4,320
JUNE	782	5,730	5,178
JULY	790	7,580	6,780
AUGUST	796	8,745	8,890
SEPTEMBER	799	11,149	12,456
OCTOBER	792	5,428	6,790
NOVEMBER	713	9,428	9,890
DECEMBER	716	6,504	7,236
TOTAL		N/A	

Is the Water Utility located in an ADWR Active Management Area (AMA)?

() Yes (xx) No

Does the Company have An ADWR Gallons Per Capita Per Day (GPCPD) requirement?

() Yes (x) No

If yes, provide the GPCPD amount: _____

What is the level of arsenic for each well on your system LESS THAN .005 mg/l

(If more than one well, please list each separately)

Note: If you are filing for more than one system, please provide separate data sheets for each system.

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY YEAR ENDING 12/31/2003

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2003 was: \$ 6,012

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY

YEAR ENDING 12/31/2003

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported	<u>0</u>
Estimated or Actual Federal Tax Liability	<u>0</u>

State Taxable Income Reported	<u>0</u>
Estimated or Actual State Tax Liability	<u>0</u>

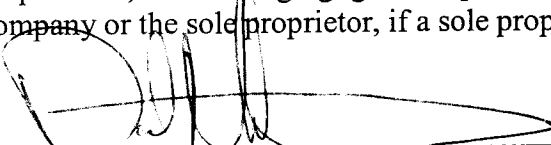
Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	<u>0</u>
Amount of Gross-Up Tax Collected	<u>0</u>
Total Grossed-Up Contributions/Advances	<u>0</u>

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.


SIGNATURE

4/15/04
DATE

DAVID MORTENSEN
PRINTED NAME

DIRECTOR
TITLE

**VERIFICATION
AND
SWORN STATEMENT**
Intrastate Revenues Only

RECEIVED

APR 20 2004

VERIFICATION

STATE OF _____

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) MOHAVE	ARIZONA CORPORATION COMMISSION DIRECTOR OF UTILITIES
NAME (OWNER OR OFFICIAL) TITLE DAVID MORTENSEN, DIRECTOR	
COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2003

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2003 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

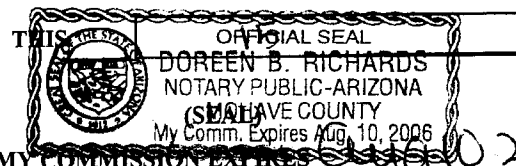
\$ 228,612

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 0
IN SALES TAXES BILLED, OR COLLECTED

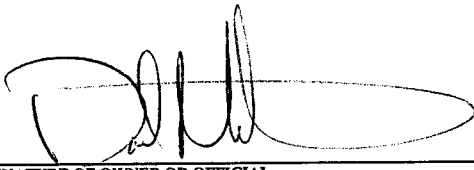
****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF



DAY OF



SIGNATURE OF OWNER OR OFFICIAL
(928) 346-1909

TELEPHONE NUMBER

COUNTY NAME <u>Mohave</u>	
MONTH <u>April</u>	YEAR <u>2004</u>
<u>Doreen B. Richards</u> SIGNATURE OF NOTARY PUBLIC	

MY COMMISSION EXPIRES Aug 10 2006

VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY

RECEIVED

APR 20 2004

VERIFICATION

AZ CORPORATION COMMISSION
DIRECTOR OF UTILITIES

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME) MOHAVE	
NAME (OWNER OR OFFICIAL) DAVID MORTENSEN, DIRECTOR	TITLE
COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2003

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

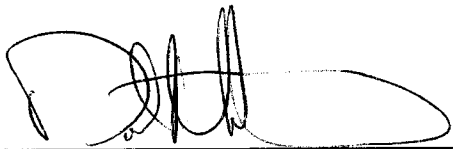
SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2003 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>228,612</u>

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 0
IN SALES TAXES BILLED, OR COLLECTED

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**



SIGNATURE OF OWNER OR OFFICIAL

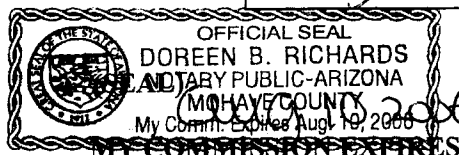
SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

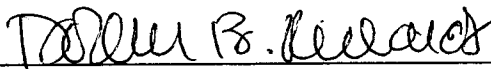
THIS

15

DAY OF



NOTARY PUBLIC NAME Doreen B. Richards	
COUNTY NAME Mohave	
MONTH April	20 04

X 

SIGNATURE OF NOTARY PUBLIC